

Kibble & Prentice
A USI COMPANY

Socially Responsible Investing

September 17, 2010
Seattle Pacific University





Agenda

What is Socially Responsible Investing?

SRI Screening

Investment Options

Resources



THE BEST
INVESTMENT
ON EARTH IS
EARTH



Socially Responsible Investing

- Adhere to social, moral, religious or environmental beliefs.
- High standard of good corporate citizenship.
- Consider investments impact on society.
- Build wealth in underserved communities worldwide.
- \$2.71 trillion in U.S. assets



Socially Responsible Investors

Socially Conscious	Vetted Out
Environmental Sustainability	Tobacco
Corporate Governance	Gambling
Human Rights	Weaponry
Product Safety	Alcohol
Community Relations	Abortion
Employee Relations	Nuclear Power



SRI Screening

- Sustainability Research
- Shareholder Advocacy
- Social Venture Capital
- Community Investing





SRI Stats

- 2005 – 2007: Growth rate of 18%
- \$1 out of \$9 is involved in SRI mutual funds
- 260 SRI mutual funds
- Index return of 9.51% (1/1/1990 – 12/31/2009)
- SRI increase in state pension funds, university endowments, and foundations



Finding a Socially Responsible Fund

- What is your risk tolerance?
- What are your values?
- Which values are requirements?
- Examine your screening categories
- Research the fund
- Invest with a clear conscience



Schwab Mutual Fund Center

<http://mf-center.schwab.com/AdvancedScreener.action>

Advanced Screener ([go to basic screener](#)) **Total Matches: 84**

Fund Information Matches in this section: 84

Fund Universe

OneSource funds (No Load, No Transaction Fee*) Morningstar Rating:

Morningstar Category (1)

All Categories Keyword Search*:

Morningstar Category (2)

Category 2 (optional) *For Keyword search use exact word or phrase in the Fund Name or Investment Orientation

Morningstar Category (3)

Category 3 (optional) **Special Criteria:**

Morningstar Data Matches in this section: 47758

Investment Options



Fund Name	Ticker	Morningstar Category	Exp Ratio	Tot Ret 12 Mo	Annualized 3 Year Returns	Annualized 5 Year Returns	Annualized 10 Year Returns	Schwab One Source?
Domini Social Bond Inv	DSBFX	Intermediate-Term Bond	0.95	6.49	7.06	5.32	5.66	Y
Parnassus Fixed-Income	PRFIX	Intermediate-Term Bond	0.76	9.39	7.00	5.96	6.54	Y
PIMCO Total Return III Instl	PTSAX	Intermediate-Term Bond	0.50	12.91	10.94	7.72	7.88	N
Pax World High Yield Bond	PAXHX	High Yield Bond	0.97	13.82	6.13	6.27	6.25	Y
Ariel Focus	ARFFX	Large Value	1.25	14.77	-6.32	-1.15		Y
LKCM Aquinas Value	AQEIX	Large Blend	1.51	11.73	-5.57	0.79	2.16	Y
Domini Social Equity Inv	DSEFX	Large Blend	1.25	14.51	-5.93	-0.70	-1.79	Y
Parnassus Equity Income	PRBLX	Large Blend	0.99	13.55	1.23	4.88	5.46	Y
TIAA-CREF Social Choice Equity	TICRX	Large Blend	0.61	16.14	-5.00	0.56	0.02	Y
Vanguard FTSE Social Index Inv	VFTSX	Large Growth	0.29	2.18	-10.83	-3.39	-4.43	N
Calvert Social Index A	CSXAX	Large Growth	0.75	3.33	-9.36	-2.32	-4.15	Y
Parnassus	PARNX	Large Growth	0.99	13.01	-1.15	3.59	-0.31	Y
Walden Social Equity	WSEFX	Large Growth	1.01	16.39	-2.23	1.33	2.38	Y
Pax World Growth	PXWGX	Large Growth	1.39	15.98	-4.68	-0.85	-1.43	Y
Alger Green A	SPEGX	Large Growth	1.25	4.71	-7.29	2.16		Y
Ariel Appreciation	CAAPX	Mid-Cap Blend	1.25	28.32	-2.83	1.11	6.88	Y
Ave Maria Rising Dividend	AVEDX	Mid-Cap Blend	1.13	17.28	-1.75	3.37		Y
Parnassus Small-Cap	PARSX	Small Blend	1.20	20.07	2.84	6.31		Y
Pax World International Individual Inv	PXINX	Foreign Large Blend	1.44	0.03	-	-		Y
Calvert Intl Opp A	CIOAX	Foreign Small/Mid Growth	1.66	4.47	-8.23	-		Y

Resources



- SRI vs. Non-SRI fund performance
www.sristudies.org
- The 'Research and Tools' heading provides an overview, facts, glossary, and reports on socially responsible investing
www.socialinvest.org
- Receive free brochures on socially responsible investing
www.socialfunds.com



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